

Free Energy of the fcc and hcp Lattices under First- and Second-Neighbor Harmonic Interactions*

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The harmonic contribution to the high-temperature expansion of the Helmholtz free energy was calculated for the hcp and fcc lattices for an arbitrary analytic central pair potential with interactions extending to first and second neighbors. The numerical method used was not the usual extrapolation based upon a sampling of points in the first Brillouin zone but rather an extrapolation of the properties of finite crystals to the thermodynamic limit ($N \rightarrow \infty$).

1. INTRODUCTION

The face centered cubic structure was the only known crystalline form of argon until 1964. In that year, using a powder x-ray diffraction technique, Barrett and Meyer [1] observed a metastable hexagonal close packed phase in coexistence with the fcc phase. It was found that a 1 % impurity was sufficient to stabilize the hcp phase [2].

Optical bi-refringence [3] and electron diffraction [4] studies on very pure argon samples indicated the hcp phase does indeed coexist with the fcc phase below the triple point. Recent electron diffraction studies on very thin films of solid argon found that an fcc, an hcp, a mixture of fcc-hcp, or an amorphous phase could exist depending on the film thickness and condensation conditions [5].

Due to the great similarity of the fcc and hcp packings, quite precise theoretical work is necessary to obtain quantitative differences in the thermodynamic

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properties of the two lattices, but the relatively short range van der Waals interactions in solid argon should render the system relatively amenable to precise theoretical investigations. Several informative reviews of this problem have appeared in recent years [6-9].

Lattice energy calculations for Lennard-Jones and related potentials favor the hcp lattice for stability [10-11]. Even though the zero-point vibrational energy under first neighbor interactions favors the fcc lattice for stability, its effect is insufficient to counterbalance the lattice energy difference [12]. The effects of anharmonic interactions have been explored, especially for the fcc lattice under first neighbor interactions [13-16]. Many body interactions have also been considered in an attempt to explain the relative stability, but their effects have been quite small [17-19].

In order to perform meaningful calculations, an accurate interaction potential must be known. Alder and Paulson [20] have pointed out that small changes in the interaction potential can result in either the fcc or hcp lattice having the lower lattice energy. Barron [21] has discussed intermolecular potentials with regard to anharmonic crystals, and a many body interaction potential has been proposed by Klein and Munn [22]. Dymond and Alder [23] have recently calculated a numerical pair potential for Ar which fits known data quite well. Experimental work on the Ar₂ molecule [24] may soon aid in the elucidation of the interaction potential.

Thus, despite the relative theoretical simplicity which one assumes for rare gas crystals, we are in fact confronted with a complex problem. Each consideration or contribution can be extremely crucial. A survey of the literature [9] shows, however, that even the harmonic model has not been analyzed carefully enough to say what the harmonic contributions are to the relative stability of the fcc and hcp phases.

It is the purpose of the present work to calculate the harmonic contribution to the Helmholtz free energy for the fcc and hcp lattices. The calculations were performed for an arbitrary central, pairwise-additive, analytic potential since an accurate interaction potential for argon has not been well characterized. Moreover, since the differences in the two lattices are quite small, the effect of both first- and second-neighbor interactions were considered.

Most studies of the harmonic model focus attention on the phonon frequency distribution function $g(\nu)$. The numerical extrapolation method most often employed consists of solving the secular equation at a relatively small number of mesh points in the irreducible section of the first Brillouin zone and then by interpolation or extrapolation estimating the solutions over the entire Brillouin zone. Details of these techniques can be found in many references [25].

An alternative technique, seldom used, is to calculate the properties of a finite crystal of N particles exactly for various values of N and then extrapolate to $N = \infty$.

This technique can make use of the analysis of asymptotic N dependence to help the extrapolation [26] and was chosen as the procedure for this investigation.

2. HARMONIC ANALYSIS

Consider a three dimensional lattice with Born–Von Karman boundary conditions which contains N interacting particles. Let \mathbf{R}_i^0 be the vector from the origin of a Cartesian coordinate system to lattice site i . Figure 1 illustrates the situation in which particles i and j are displaced from their lattice sites by amounts \mathbf{r}_i and \mathbf{r}_j , respectively.

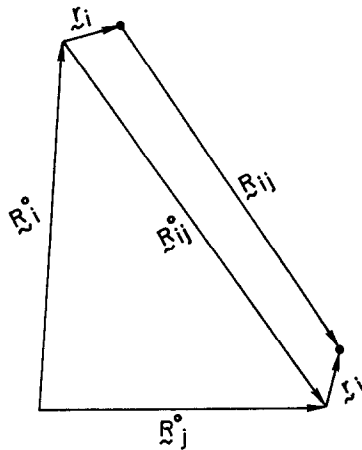


FIG. 1. Situation in which particles i and j are displaced from their lattice sites by amounts \mathbf{r}_i and \mathbf{r}_j , respectively. The dots indicate the instantaneous positions of the two particles.

Assuming central forces and pairwise interactions, we may define a potential

$$U_N = \sum_{(ij)} \Phi(R_{ij}). \tag{2.1}$$

Although triplet and higher-order interactions are neglected here, their effects have been the subject of recent investigations [17–19].

We obtain in the harmonic approximation [27]

$$U_N = U_N^{(0)} + \frac{1}{2} \mathbf{k}_1 \mathbf{r} \cdot \mathbf{M} \cdot \mathbf{r}, \tag{2.2}$$

where $\mathbf{r} = (\mathbf{r}_1, \dots, \mathbf{r}_N)$, \mathbf{M} is a dynamical matrix with eigenvalues $(\nu_\alpha/\nu_0)^2$, ν_α

$1 \leq \alpha \leq 3N - 3$ are the $3N - 3$ normal vibrational modes for the system,

$$\nu_0 = \frac{1}{2\pi} \sqrt{k_1/m}, \quad k_1 = \Phi''(R_0) - \Phi'(R_0)/R_0,$$

R_0 being the first-neighbor equilibrium separation.

The anharmonic terms which are neglected in Eq. (2.2) account for several interesting phenomena [13-16].

The Helmholtz free energy for the system is given as

$$A_N = U_N^{(0)} + F_N, \quad (2.3)$$

where $U_N^{(0)}$ is the lattice energy at absolute zero and F_N is the harmonic free energy. At sufficiently high temperatures [28, 29]

$$F_N/NkT = (3 - 3/N) \ln(\Theta/T) + \frac{1}{2N} \ln |M|' + \sum_{n=1}^{\infty} \frac{B_{2n}}{(2n)(2n)!} (\Theta/T)^{2n} \frac{1}{N} \text{tr}(M^n), \quad (2.4)$$

where $\Theta = hv_0/k$ and $|M|'$ is restricted to exclude the three translational modes.

To facilitate the numerical calculation of the terms in Eq. (2.4), the matrix M can be block diagonalized by standard techniques [30, 31].

3. NUMERICAL RESULTS

Under first- and second-neighbor interactions, the matrix elements of M depend linearly on three-dimensionless parameters

$$\begin{aligned} l_1 &= \Phi'(R_0)/(k_1 R_0), \\ l_2 &= \Phi'(\sqrt{2}R_0)/(k_1 \sqrt{2}R_0), \\ k_2 &= \Phi''(\sqrt{2}R_0)/k_1 - l_2. \end{aligned} \quad (3.1)$$

As such,

$$\frac{1}{N} \text{tr} M^n = \sum_{C_1, C_2, C_3=0}^n b_{C_1 C_2 C_3}^{(n)} l_1^{C_1} l_2^{C_2} k_2^{C_3}, \quad C = C_1 + C_2 + C_3 \leq n. \quad (3.2)$$

As shown by Isenberg [32], the coefficients $b_{C_1 C_2 C_3}^{(n)}$ for sufficiently large finite lattices are exactly the same as for the infinite lattice. A consideration of the form of the dynamical matrix M insures for the fcc lattice that $b_{C_1 C_2 C_3}^{(n)} 2^{n+C}$ is an integer and insures for the hcp lattice that $b_{C_1 C_2 C_3}^{(n)} 18^{n-C}$ is an integer.

TABLE 1

Twenty moments for the fcc lattice under first neighbor interactions. The results can be compared to those of Isenberg [32] by noting that $b_{000}^{(n)} = 3 \cdot 8^n \mu_{2n}$.

n	$b_{000}^{(n)}$
0	3.
1	12.
2	60.
3	342.
4	2109.
5	13647.
6	91059.
7	620273.1/4
8	4288065.9/16
9	29977872.3/4
10	211452231.9/16
11	1502586690.21/32
12	10745522619.51/128
13	77276408636.11/128
14	558533729674.7/8
15	4055463913213.43/512
16	29570777955997.3401/4096
17	216463329635910.951/1024
18	1590348447544759.193/2048
19	11724314853646673.1231/4096

Using this result, the first twenty moments for the fcc lattice under first-neighbor interactions were computed exactly from the numerical results of Isenberg [32]. These moments are listed in Table I and were utilized by Gordon and Wheeler [33] to obtain rigorous upper and lower bounds to some thermodynamic quantities.

The exact values of coefficients $b_{C_1 C_2 C_3}^{(n)}$ were calculated for both the fcc and hcp lattices and are given in Table II for $n \leq 6$. Garland and Jura [34] have calculated these coefficients for the fcc lattice for the special case $l_1 = l_2 = 0$. For $n \leq 3$, the coefficients are identical for the two lattices as shown by Barron and Domb [35].

In a similar manner we obtain the expansion

$$\frac{1}{N} \ln |M|' = \sum_{C_1, C_2, C_3=0} a_{C_1 C_2 C_3} l_1^{C_1} l_2^{C_2} k_2^{C_3}. \quad (3.3)$$

Unlike the coefficients $b_{C_1 C_2 C_3}^{(n)}$ of Eq. (3.2), the coefficients $a_{C_1 C_2 C_3}^{(n)}$ for the infinite lattice must be obtained by extrapolation. These coefficients for $C \leq 3$ were computed for systems of 1000, 8000, and 27 000 particles. The extrapolated coefficients are given in Table III. The coefficient a_{000} has been computed by several techniques [26, 33, 36], and all the values obtained agree with our results.

TABLE 2

The exact coefficients $b_{C_1 C_2 C_3}^{(n)}$, $1 \leq n \leq 6$, of Eq. (3.2) for the fcc and hcp lattices of infinite size. A dash indicates the coefficient for the hcp lattice is equal to the coefficient for the fcc lattice. For $n \leq 3$, the coefficients are the same for the two lattices

$C_2 C_1 C_3$	$b(\text{hcp})$ $b(\text{fcc})$	$C_2 C_1 C_3$	$b(\text{hcp})$ $b(\text{fcc})$
n = 1		n = 3	
0 0 0	12	0 0 0	342
0 0 1	6	0 0 1	360
0 1 0	36	0 0 2	216
1 0 0	18	0 0 3	60
n = 2		0 1 0	2412
0 0 0	60	0 1 1	1800
0 0 1	48	0 1 2	648
0 0 2	18	0 2 0	6336
0 1 0	312	0 2 1	2736
0 1 1	144	0 3 0	6336
0 2 0	468	1 0 0	1080
1 0 0	144	1 0 1	1008
1 0 1	84	1 0 2	396
1 1 0	432	1 1 0	5472
2 0 0	126	1 1 1	3024
		1 2 0	8208
		2 0 0	1512
		2 0 1	972
		2 1 0	4536
		3 0 0	972

TABLE 2 (continued)

$C_2C_1C_3$	b(fcc)	b(hcp)	$C_2C_1C_3$	b(fcc)	b(hcp)
n = 4					
0 0 0	2109	2108 1/6	1 0 2	6336	---
0 0 1	2760	2766 2/3	1 0 3	1800	---
0 0 2	2148	2134 2/3	1 1 0	56016	56101 1/3
0 0 3	960	---	1 1 1	49824	49781 1/3
0 0 4	210	---	1 1 2	19008	---
0 1 0	18576	18565 1/3	1 2 0	143424	---
0 1 1	18288	18341 1/3	1 2 1	75456	---
0 1 2	10656	10613 1/3	1 3 0	143424	---
0 1 3	2880	---	2 0 0	15264	15157 1/3
0 2 0	66456	66434 2/3	2 0 1	15552	---
0 2 1	46656	46698 2/3	2 0 2	6300	6278 2/3
0 2 2	16272	16229 1/3	2 1 0	75456	---
0 3 0	117360	---	2 1 1	46656	---
0 3 1	47808	---	2 2 0	113184	---
0 4 0	88020	---	3 0 0	15552	---
1 0 0	8280	8301 1/3	3 0 1	10728	---
1 0 1	10224	10138 2/3	3 1 0	46656	---
			4 0 0	8046	---

TABLE 2 (continued)

$C_2C_1C_3$	b(fcc)	b(hcp)	$C_2C_1C_3$	b(fcc)	b(hcp)
n = 5					
0 0 0	13647	13632 5/12	1 1 2	388080	386586 2/3
0 0 1	21501	21639 1/6	1 1 3	108000	---
0 0 2	20490	20234 1/6	1 2 0	1862640	1867760
0 0 3	11850	11740	1 2 1	1584000	1582293 1/3
0 0 4	4200	---	1 2 2	589680	588826 2/3
0 0 5	756	---	1 3 0	3209760	---
0 1 0	144150	143870 5/6	1 3 1	1612800	---
0 1 1	176520	178030	1 4 0	2407320	---
0 1 2	133920	132753 1/3	2 0 0	149040	146906 2/3
0 1 3	58800	58386 2/3	2 0 1	200160	196533 1/3
0 1 4	12600	---	2 0 2	126000	125573 1/3
0 2 0	644580	643380	2 0 3	36360	36146 2/3
0 2 1	596880	600933 1/3	2 1 0	969120	964426 2/3
0 2 2	339840	336933 1/3	2 1 1	954000	952933 1/3
0 2 3	90000	89573 1/3	2 1 2	378000	376720
0 3 0	1556280	1554893 1/3	2 2 0	2419200	---
0 3 1	1033920	1036480	2 2 1	1440720	---
0 3 2	351360	348906 2/3	2 3 0	2419200	---
0 4 0	2075760	---	3 0 0	198720	195520
0 4 1	802440	---	3 0 1	214560	---
0 5 0	1245456	---	3 0 2	88920	88280
1 0 0	64560	65022 1/2	3 1 0	960480	---
1 0 1	100320	98565	3 1 1	643680	---
1 0 2	80220	79013 1/3	3 2 0	1440720	---
1 0 3	36000	---	4 0 0	160920	---
1 0 4	7980	---	4 0 1	117180	---
1 1 0	542160	545466 2/3	4 1 0	482760	---
1 1 1	639360	634986 2/3	5 0 0	70308	---

TABLE 2 (continued)

$C_2C_1C_3$	b(fcc)	b(hcp)	$C_2C_1C_3$	b(fcc)	b(hcp)
n = 6					
0 0 0	91059	90900 125/144	1 0 2	944280	912571 1/3
0 0 1	168624	170283 13/18	1 0 3	544320	534485 1/3
0 0 2	191007	187515 3/4	1 0 4	191520	---
0 0 3	134544	131811 1/9	1 0 5	34776	---
0 0 4	61776	61149 1/9	1 1 0	5094540	5159623
0 0 5	18144	---	1 1 1	7570080	7473363 7/9
0 0 6	2772	---	1 1 2	5946480	5839796 4/9
0 1 0	1123749	1119553 7/9	1 1 3	2633760	2617621 1/3
0 1 1	1657980	1682747 8/9	1 1 4	574560	---
0 1 2	1541160	1523236	1 2 0	21750120	21944568
0 1 3	878400	863960 8/9	1 2 1	24544080	24432560
0 1 4	307440	304652 4/9	1 2 2	14644800	14529152
0 1 5	54432	54432	1 2 3	4008960	3998240
0 2 0	6023295	5995531 2/9	1 3 0	50533200	50719248
0 2 1	6926040	7033264 2/3	1 3 1	41179680	41146912
0 2 2	5144940	5088840	1 3 2	15007680	14948032
0 2 3	2232000	2195768 8/9	1 4 0	65871360	---
0 2 4	471240	468253 1/3	1 4 1	31690080	---
0 3 0	18196800	18128960	1 5 0	39522816	---
0 3 1	15917040	16097968	2 0 0	1424385	1395924 13/18
0 3 2	8892720	8772976	2 0 1	2412360	2314650 8/9
0 3 3	2318400	2288768	2 0 2	1947600	1895143 1/3
0 4 0	33299280	33244176	2 0 3	872640	867520
0 4 1	21029760	21124992	2 0 4	195300	193810 2/9
0 4 2	6991920	6903856	2 1 0	11436480	11331616
0 5 0	35743104	---	2 1 1	14871600	14613520
0 5 1	13174272	---	2 1 2	9218880	9156928
0 6 0	17871552	---	2 1 3	2617920	2602560
1 0 0	506682	513152 5/6	2 2 0	37728720	37622416
1 0 1	961650	937256	2 2 1	35916480	35850560

TABLE 2 (continued)

$C_2C_1C_3$	b(fcc)	b(hcp)
n = 6 (continued)		
2 2 2	13955760	13898096
2 3 0	63380160	---
2 3 1	36383040	---
2 4 0	47535120	---
3 0 0	2377800	2291448
3 0 1	3360960	3269184
3 0 2	2134080	2118720
3 0 3	623280	615408
3 1 0	14923440	14716272
3 1 1	15716160	15699648
3 1 2	6402240	6356160
3 2 0	36383040	---
3 2 1	23686560	---
3 3 0	36383040	---
4 0 0	2500200	2437416
4 0 1	2812320	---
4 0 2	1186740	1174836
4 1 0	11843280	---
4 1 1	8436960	---
4 2 0	17764920	---
5 0 0	1687392	---
5 0 1	1282536	---
5 1 0	5062176	---
6 0 0	641268	---

TABLE 3

Listed below are the extrapolated coefficients $a_{C_1 C_2 C_3}$ of Eq. (3.3). Values for both the fcc and hcp lattices are given.

C_1	C_2	C_3	$a(\text{fcc})$	$a(\text{hcp})$
0	0	0	1.8325536	1.8340288
1	0	0	5.3835	5.3705
0	1	0	3.1237	3.0984
0	0	1	1.0395	1.0252
2	0	0	-11.196	-11.099
0	2	0	-5.0010	-4.7810
0	0	2	-0.70722	-0.64973
1	1	0	-13.798	-13.464
1	0	1	-4.7363	-4.5767
0	1	1	-3.4054	-3.1866
3	0	0	34.501	33.827
0	3	0	13.764	12.404
0	0	3	0.84842	0.69747
2	1	0	67.838	64.653
2	0	1	24.260	22.860
1	2	0	51.098	47.203
0	2	1	14.581	12.663
1	0	2	7.4458	6.5988
0	1	2	5.8837	4.9561
1	1	1	36.243	32.613

4. AN EXAMPLE CALCULATION

Consider the pair potential [10]

$$\Phi(R_{ij}) = \epsilon(R^*/R_{ij})^{12} - 2\epsilon(R^*/R_{ij})^6. \tag{4.1}$$

Following Kihara and Koba [11], we shall determine the first-neighbor separation R_0 by minimizing $U_N^{(0)}$ at absolute zero, neglecting the zero-point vibrational energy. This yields

$$\begin{aligned} U_N^{(0)}/N\epsilon &= C_6^2/2C_{12}, \\ V/NR^{*3} &= (C_{12}/2C_6)^{1/2}, \\ k_1 &= 12\epsilon\eta R^{*-2}C_6^{4/3}C_{12}^{-7/3}, \\ k_2 &= (7C_6 - 32C_{12})/2^6\eta, \\ l_1 &= (-C_6 + C_{12})/\eta, \\ l_2 &= (-C_6 + 8C_{12})/2^7\eta, \end{aligned} \tag{4.2}$$

where $\eta = 14C_6 - 8C_{12}$.

From the results of Section 3, we find, where $\Delta = \text{hcp-fcc}$,

$$(kT)^{-1} \Delta(F_N/N) = 2.62 \times 10^{-3} + 4.57 \times 10^{-5}(\Theta_{\text{fcc}}/T)^2 \\ + 3.65 \times 10^{-6}(\Theta_{\text{fcc}}/T)^4 + 4.76 \times 10^{-7}(\Theta_{\text{fcc}}/T)^6 + \dots, \quad (4.3)$$

which converges for $T > 0.865 \Theta_{\text{fcc}}$. It is interesting to note that ΔF_N is essentially a classical result in the region of convergence.

Since $\Delta V < 0$, the hcp lattice is stable at higher pressures than is the fcc lattice. For the two lattices to coexist at a fixed temperature and pressure,

$$\Delta G = 0 = \Delta A + P\Delta V. \quad (4.4)$$

The transition pressure for $T > 0.865 \Theta_{\text{fcc}}$ is then given as

$$P = -80.2(\epsilon/R^*3) + [243 + 4.24(\Theta_{\text{fcc}}/T)^2] kT/R^*3. \quad (4.5)$$

Using the values $\epsilon = 1.69 \times 10^{-14}$ erg and $R^* = 3.82\text{\AA}$ for argon in the fcc structure [6], we find $\Theta_{\text{fcc}} = 36.6^\circ$ K. Equation (4.5) then predicts the fcc lattice to be the stable form of argon for $T > \Theta_{\text{fcc}}$ with a possibility of a phase transition to the hcp structure occurring at pressures of the order of 10^{10} dynes/cm².

The errors in the example calculation resulting from the choice of interaction potential and from the determination of the parameters at absolute zero rather than at the temperature in question are no doubt quite large. The main point of the example is to point out that ΔF_N depends significantly on second-neighbor harmonic interactions. As such, any attempt to explain the stability of the two lattices should include the effects of second-neighbor harmonic interactions. The results of Section 3 should prove quite useful in this regard.

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